

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

012904

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>	Attorney Docket No.	1322.1026-005	31353 U.S. PTO 10/767836 012904
	First Named Inventor or Application Identifier	David L. Kaplan	
	Express Mail Label No.	EV215728762 US	
Title of Invention		EMULSAN ADJUVANT IMMUNIZATION FORMULATIONS AND USE	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450
---	--

1. <input type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>  2. <input checked="" type="checkbox"/> Specification <b>Total Pages [44]</b> <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure  3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <b>Total Sheets [13]</b> <input checked="" type="checkbox"/> Fig. of the Drawings for Publication [1] <input type="checkbox"/> No Figure to be Published  4. <input checked="" type="checkbox"/> Oath or Declaration <b>Total Pages [3]</b> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).  5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i>	6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Paper Copy (identical to computer copy) [ ] Pages c. <input type="checkbox"/> Statements verifying identity of above copies  <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: center; padding: 2px;">ACCOMPANYING APPLICATION PARTS</th> </tr> <tr> <td style="padding: 5px;">           7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; documents)  <input checked="" type="checkbox"/> Assignee - Trustees of Tufts University                                Medford, Massachusetts; and                                University of Massachusetts                                Lowell, Massachusetts            8. <input type="checkbox"/> Power of Attorney [ ] 37 C.F.R. 3.73(b) Statement            9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>            10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations            11. <input type="checkbox"/> Preliminary Amendment            12. <input checked="" type="checkbox"/> Return Receipt Postcard            13. <input type="checkbox"/> Small Entity Statement(s)            14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365            14b. <input type="checkbox"/> Certified Copy of Priority Document(s)            15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i>            16. <input checked="" type="checkbox"/> Other <u>Remarks/Change of Correspondence Address</u> </td> </tr> </table>	ACCOMPANYING APPLICATION PARTS	7. <input type="checkbox"/> Assignment Papers (cover sheet & documents) <input checked="" type="checkbox"/> Assignee - Trustees of Tufts University Medford, Massachusetts; and University of Massachusetts Lowell, Massachusetts 8. <input type="checkbox"/> Power of Attorney [ ] 37 C.F.R. 3.73(b) Statement 9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard 13. <input type="checkbox"/> Small Entity Statement(s) 14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365 14b. <input type="checkbox"/> Certified Copy of Priority Document(s) 15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i> 16. <input checked="" type="checkbox"/> Other <u>Remarks/Change of Correspondence Address</u>
ACCOMPANYING APPLICATION PARTS			
7. <input type="checkbox"/> Assignment Papers (cover sheet & documents) <input checked="" type="checkbox"/> Assignee - Trustees of Tufts University Medford, Massachusetts; and University of Massachusetts Lowell, Massachusetts 8. <input type="checkbox"/> Power of Attorney [ ] 37 C.F.R. 3.73(b) Statement 9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard 13. <input type="checkbox"/> Small Entity Statement(s) 14a. <input type="checkbox"/> Foreign Priority Claim under 35 U.S.C. §119 or 365 14b. <input type="checkbox"/> Certified Copy of Priority Document(s) 15. <input type="checkbox"/> Nonpublication Request <i>(check parent application)</i> 16. <input checked="" type="checkbox"/> Other <u>Remarks/Change of Correspondence Address</u>			

17. If a **CONTINUING APPLICATION**, check appropriate box; supply the requisite information.

☒ Continuation   
 ☐ Divisional   
 ☐ Continuation-in-part (CIP)   
 of prior application No.: 09/518,020  
 Prior application information: Examiner: Zeman, Robert A.    Group Art Unit: 1645

The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.  
 (Add standard Related Applications section with incorporation by reference to specification or update same)

18. CORRESPONDENCE ADDRESS					
NAME	Customer No. 021005				
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	530 Virginia Road, P.O. Box 9133				
CITY	Concord	STATE	MA	ZIP CODE	01742-9133
COUNTRY	USA	TELEPHONE	(978) 341-0036	FAX	(978) 341-0136

Signature		Date	1/25/04
Submitted by Typed or Printed Name	N. Scott Pierce	Reg. Number	34,900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David L. Kaplan, Juliet Fuhrman and Richard A. Gross

Continuation Application of

Application No.: 09/518,020

Filed: March 3, 2000

For: EMULSAN ADJUVANT IMMUNIZATION FORMULATIONS AND USE

<p>Date: <u>Jan-29, 2004</u></p> <p>EXPRESS MAIL LABEL NO. <u>EV215728762 US</u></p>
--

REMARKS/CHANGE OF CORRESPONDENCE ADDRESS

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-captioned application is a continuation of application number 09/518,020 filed on March 3, 2000, to which priority is claimed under 35 U.S.C. §120.

The specification of the present application is substantially the same as that of the parent application. The related applications paragraph has been revised to include a specific reference to the parent application.

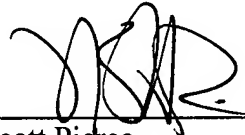
The specification has been revised to incorporate amendments entered in the parent application. No new matter has been added.

Pursuant to 37 C.F.R. 1.63(d)(4), please note that a Notice of Change of Correspondence Address was submitted in parent Application No. 09/518,020. Please send all correspondence in the above-identified continuation application to: Customer No. 021005; Hamilton, Brook, Smith

& Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to N. Scott Pierce at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By   
N. Scott Pierce  
Registration No. 34,900  
Telephone: (978) 341-0036  
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated:

1/22/04